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09/945063  
08/31/01

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Apostolos VOUTSAS and Yukihiro NAKATA

Serial No.: Not Yet Known

Examiner: Not Yet Known

Filing Date: Not Yet Known

Group Art Unit: Not Yet Known

Title: METHOD OF FORMING MULTI-LAYERS FOR A THIN FILM  
TRANSISTOR (TFT) AND THE DEVICE FORMED THEREBY

Box DD  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

☒ under 37 CFR 1.97 (b), or  
(Within three months of filing national application; or date of entry of  
International application;

or before mailing date of first office action on the merits; whichever occurs last)

☐ under 37 CFR 1.97 (c) together with either a:

☐ Statement under 37 CFR 1.97 (e), or

☐ a \$240 fee under 37 CFR 1.17 (p), or

(After mailing of first Office Action, but prior to Notice of Allowance or Final

Office Action)

☐ under 37 CFR 1.97 (d) together with either:

☐ Statement under 37 CFR 1.97 (e),

☐ Applicant hereby petitions for the consideration of the accompanying  
information disclosure statement (37 C.F.R. §1.97(d)(ii).

☐ a \$130.00 petition fee set forth in 37 CFR 1.17 (i)(1).

(Filed after final action or notice of allowance, whichever occurs first, but

before payment of the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation  
together with copies of patents, publications or other information of which applicant(s) are  
aware, which applicant(s) believe(s) may be material to the examination of this application and  
for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.



20575

PATENT TRADEMARK OFFICE

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

A large, stylized handwritten signature in black ink, appearing to read 'James G. Stewart'.

James G. Stewart  
Registration No. 32,496

MARGER JOHNSON & McCOLLOM, P.C.  
1030 SW Morrison Street  
Portland, Oregon 97205  
(503) 222-3613

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL

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I HEREBY CERTIFY THAT THIS PAPER AND ENCLOSURES AND/OR FEE ARE BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 CFR 1.10 ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO: BOX PATENT APPLICATION, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON D.C. 20231.

JUDY WIGMORE  
(SENDER'S PRINTED NAME)

*Judy Wigmore*  
(SIGNATURE)

BOX PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, DC 20231

Enclosed for filing is a patent application under 37 CFR 1.53(b) of:

Inventors Apostolos VOITSAS and Yukihiro NAKATA

For: **METHOD OF FORMING MULTI-LAYERS FOR A THIN FILM  
TRANSISTOR (TFT) AND THE DEVICE FORMED THEREBY**

Applicant requests FIG. 2C to be published with the application.

Enclosures:

- ☒ Specification (pages 1-7); claims (pages 8-10); abstract (page 11)
- ☒ 4 sheets of FORMAL drawings (comprised of 7 Figures)
- ☒ Declaration or Combined Declaration and Power of Attorney
- ☒ Newly executed (original or copy)
- ☒ Assignment with cover sheet with one cover sheet

Assignee Name and Address: Sharp Laboratories of America, Inc.  
5750 N.W. Pacific Rim Boulevard  
Camas, Washington 98607

- ☒ Information Disclosure Statement Form PTO 1449 with cited references  
☒ Return Postcard

CLAIMS AS FILED				
For	Number Filed	Number Extra	Rate	Basic Fee \$710.00
Total Claims	28-20	-8-	x \$ 18 =	144.00
Independent Claims	4-3	-1-	x \$ 80 =	80.00
TOTAL FILING FEE				\$934.00

- ☒ PTO Form 2038 authorizing credit card payment for the sum of \$974.00 to cover  
☒ filing fee of \$934.00; and ☒ assignment recordal fee (\$40) is enclosed.
- ☒ Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.

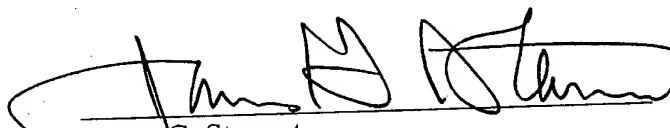


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